# Spectral functions in holographic lattices and the Hubbard model

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# Outline

Why Hubbard model?!

The basic holographic model: spectral functions and the fit to the spectral functions of the Hubbard model

Variations of the model and universality

What have we learned?

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#### Hubbard model vs. holography







 $H = -t \sum_{\langle ij \rangle;\sigma} c_{i\sigma}^{\dagger} c_{j\sigma} + \text{h.c.} + U \sum_{i} n_{i\uparrow} n_{i\downarrow}$ U(1)-charged electrons, pairwise interactions

No Hamiltonian known SU(N)-charged bosons and fermions in the large-N limit

At first glance rather hopeless and poorly motivated Beware of large N pathologies

#### Hubbard model vs. holography

Holographic SU(N) Bose-Hubbard model constructed in 1411.7899 (Fujita, Harrison, Karch, Meyer & Paquette 2014)

Idea: quiver gauge theory on hard-wall AdS provides a microscopic dual of the Hubbard lattice



Rene Meyer

Andreas Karch

Our idea: brute force search of bottom-up effective holographic theories

#### The roadmap

**Empirical motivation:** 

Some of the bottom-up holographic spectra look very much like the Hubbard model Quantum Monte Carlo results! It's worth trying!

Our idea: brute force search in the parameter space of a phenomenological (bottom-up) Einstein-Maxwell-dilaton model

The citerion: spectral function in Matsubara frequencies

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# The holographic model

Einstein-Maxwell-dilaton + 2D "ionic lattice"

$$S = \int d^4 x \sqrt{-g} \left| R - (\nabla \varphi)^2 - \frac{Z(\varphi)}{4} F^2 - V(\varphi) \right|$$

Ionic square lattice: modulating chemical potential  $\mu(x,y) = A_t (AdS bnd) = \mu_0 + \delta \mu \cos \pi x \cos \pi y$ 

- Dynamical UV cutoff: impose the ARPES sum rules through a frequency-dependent "double-trace" deformation
- Bulk dipole coupling to electric field

 $\left(D_{\mu}\Gamma^{\mu}-m+ipF_{\mu\nu}\Gamma^{\mu\nu}\right)\Psi=0$ 

Effectively shifts momentum and mimics a gap

#### **EMD** action

Einstein-Maxwell-dilaton + 2D "ionic lattice"

$$S = \int d^4 x \sqrt{-g} \left| R - (\nabla \varphi)^2 - \frac{Z(\varphi)}{4} F^2 - V(\varphi) \right|$$

 $Z(\varphi) = \cosh(2\alpha\varphi), \quad V(\varphi) = 2V_0\cosh(2\delta\varphi)$ 

Potentials from lizuka et al 2011 [1105.1162], a special case of effective holographic theories [1005.4690, 1107.2116] by Kiritsis, Gouteraux, Meyer...

Weak lattices – good control, solution for Hubbard:

 $\mu(x, y) = A_t (\text{AdS bnd}) = \mu_0 + \delta \mu \cos \pi x \cos \pi y, \quad \delta \mu / \mu_0 \le 1$ 

Strong lattices – exciting phenomenology but more work is needed!

 $\mu(x, y) = A_t (\text{AdS bnd}) = \mu_0 + \delta \mu \cos \pi x \cos \pi y, \quad \delta \mu / \mu_0 > 1$ 

# Corrugated EMD black hole

AdS asymptotics near the boundary

#### Zero-T horizon



Collocation 3D grid solver with Gauss-Lobato basis in z and Fourier basis in x,y + Broyden's iterative nonlinear solver (e.g. book by John Boyd; notes by Krikun [1801.01483]

#### **Dynamical cutoff**

ARPES sum rules violated by holographic spectral functions -UV is determined by conformal dimension  $G(\omega,k) \sim \omega^{2\Delta}$ 

Idea: double-trace deformation with Odependent source (Gursoy et al 1112.5074)

Holographic realization: obtain the source as the Green function of another holographic fermion in hard-wall AdS (see also Fujita et al 0810.5394)

 $\widetilde{G}_{R}(\omega, \boldsymbol{k}) = (\boldsymbol{g}_{\text{hard wall}}(\omega, \boldsymbol{k}) - \boldsymbol{G}_{R}(\omega, \boldsymbol{k}))^{-1}$ 

Requires alternative quantization so we need -1/2 < m < 1/2

## The algorithm

(1) compute a  $(\alpha, \delta)$  grid of holographic lattice backgrounds (2) compute (real-frequency) EDCs for a range of conformal dimensions  $\Lambda$  for each background

(3) translate the holographic EDCs into Matsubara frequencies

(4) do a grid search in  $(\alpha, \delta; \Delta)$  for the best fit to the CTINT Hubbard model Matsubara EDCs with the merit function:

$$M_{d} \equiv \frac{1}{N^{d}} \sum_{n,j} |G_{AdS}(i\omega_{n}; \boldsymbol{k}_{j}) - G_{CTINT}(i\omega_{n}; \boldsymbol{k}_{j})|^{d} = \min$$

similar results for d = -2, -1, +1, +2

(5) repeat for several temperatures and densities

#### Minimizing the merit function

Global minimum of  $M_2$  – the solution









#### Real-frequency spectra



Same parameters as before (a) T=0.50

Broad peak, not really a quasiparticle

> Well-separated Hubbard bands

This is the Fermi-liquid-like phase with well-defined QP

Legend color k (0,0) $(\pi/2,0)$  $(\pi/2,\pi/2)$  $(\pi, 0)$ (π,π/2) (π,π)

# The beauty of holography: realfrequency spectra @low T



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Zoom-in at small



Key points:

1) very sharp quasiparticle at low temperature

T = 0.05

2) exponentially narrow peaks  $\log A(\omega) \propto 1/(\omega + a_0)$ 

3) compare to lizuka et al selfenergies Zoom-in linearizedl



 $1/\omega$ 

# Local spectral function



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N

Three-peak structure Clear separation between the low-energy and highenergy spectrum

A signature of Mottness?

The puzzle: no sign of gap at half-filling. Strange but not totally inconsistent with QMC data



### Spectral map in $(\omega, \mathbf{k})$ plane



# The beauty of holography: spectral map in (ω,k) plane @low T



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# Holography lite 1: semiholographic lattice

The idea by Polchinski and Faulkner 2011, applied in several contexts so far, e.g. recent study of momentum-dependent scaling exponents [2112.06576]

Couple the holographic propagator in the plane to a free lattice fermion:

 $\widetilde{G}_{R}(\omega, \mathbf{k}) = (\omega - \cos(ak_{x}) - \cos(ak_{y}) - G_{R}(\omega, \mathbf{k}))^{-1}$ 

Uncontrolled but should capture the IR behavior

# Holography lite 1: semiholographic lattice

The simplest possible model – no anisotropy at all in AdS calculations



Quasiparticle present but no clearly separated bands

But this is mainly from the lack of dipole coupling!

# Holography lite 2: multipole expansion

Holographic lattice but avoid solving the PDEs

Expand the stress tensor and separate the variables



# Holography lite 2: multipole expansion - NO dipole coupling



# Holography lite 2: multipole expansion WITH dipole coupling



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#### How seriously are we to take this?

Matsubara EDCs of CTINT Hubbard model well-described by holographic EDCs in EMD background

Real-frequency spectra overall look as expected but a few surprises are there: no gap even @low T at half-filling, pecular behavior for  $k = (\pi, \pi)$ 

#### How seriously are we to take this?

Just a good fit or something deeper?

Is it time for holographers to tackle microscopic models in a controlled way?

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#### <u>To do's:</u>

IR analysis a la Hong Liu (on the lattice) – can we say something about the T=0 behavior of the Hubbard model? Strong lattice regime (work in progress by Filip&Vladan) Transport properties & relation to experiment